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Towards developing an LFG syntax of Hungarian WH-questions

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1.1. Introduction

aims of the presentation

- first steps towards an LFG-theoretic and XLEimplementational analysis of the most important aspects of Hungarian WH-questions
- concentrating on
 - preverbal domain
 - multiple WH sentences
 - interactions with focus and negation
 - syntactic positions and distribution
 - LFG: Lexical-Functional Grammar
 - XLE: Xerox Linguistic Environment (LFG's implementational platform)

1.2. Introduction

structure of the presentation

- 1. Introduction
- 2. The phenomena
- 3. On LFG
- 4. Previous approaches
- 5. The analysis
- 6. Conclusions

2. The phenomena

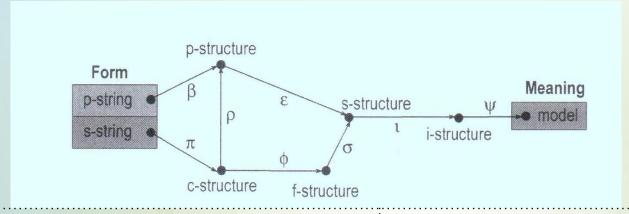
(1)	Jani	be	mutatta	Marit Marit	Ferinek.			
	Jani.nom	VM	showed	Mari.acc	Feri.dat			
	'Jani intro	oduce	d Mari to	Feri.'				
(2)	Jani			KINEK	mutat	ta	be	Marit?
	Jani.nom			who.dat	showe	d	VM	Mari.acc
(3)	Jani	ki	t	KINEK	mutat	ott	be?	
	Jani.nom	W	ho.acc	who.dat	showe	d	VM	
(4)	Jani	m	iért ₂	FERINEK	mutat	ta	be	Marit?
	Jani.nom	W	hy	Feri.dat	showe	d	VM	Mari.acc
(5)	Jani			KINEK	nem	mutatta	be	Marit?
	Jani.nom			who.dat	not	showed	VM	Mari.acc
(6)	Jani	ki	t	NEM FERINEK	(nem)	mutatott	be?	
	Jani.nom	W	ho.acc	not Feri.da	t not	showed	VM	
(7)	Jani	M	ARIT	kinek	mutat	ta	be?	
	Jani.nom	M	ari.acc	who.dat	4 showe	d	VM	

3.1. On LFG

- a non-transformational generative grammar (no movements, empty categories in constituent structure)
- a representational (
 derivational) model: parallel syntactic and other levels of representation
- strictly limited number (= nature) of functional categories:
 DP, IP, CP
- much closer to Surányi's (2011) (SEM, PHON) interface MP model than to cartographic MP
 - but still radically different from it wrt architecture, principles and assumptions
- exocentricity (S sentence structure) is a parametric option

3.2. On LFG

Dalrymple & Nikolaeva (2011), (Mycock 2010: 292)

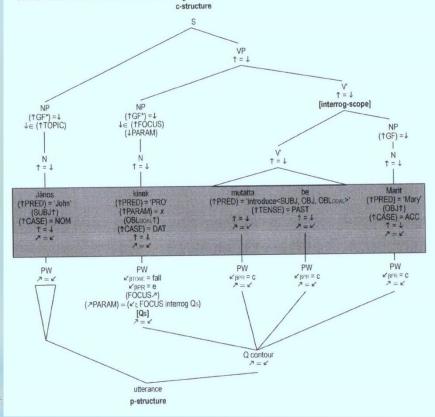


LEVEL OF STRUCTURE	TYPE OF LINGUISTIC INFORMATION
s-string	lexical items
p-string	phonological words
c(onstituent)-structure	surface syntactic representation
	abstract grammatical functions (e.g. subject, object) and features
p(rosodic or phonological)-structure	phonological and prosodic features
i(nformation)-structure	information packaging (discourse functions)
s(emantic)-structure	meaning

3.3. On LFG

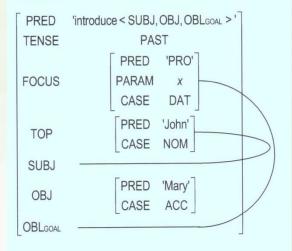


be Mari-t? mutat-t-a ki-nek János John.NOM who-DAT introduce-PAST-DEF.3SG VM Mary-ACC 'Who did John introduce Mary to?'

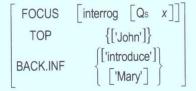


Mycock (2006: 237-238)

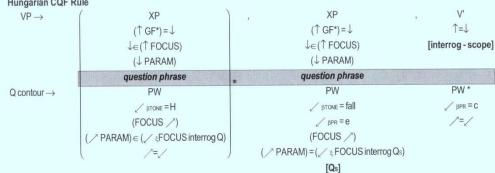
f-structure



i-structure



Hungarian CQF Rule



/=/

4.1. Previous approaches

É. Kiss (1992), Lipták (2001), Surányi (2007), Kenesei (2009), Brody & Szendrői (2011), Horvath (2013), a. o. – cartographic and interface type accounts

immediately preverbal WH = Foc; features:

F, WH/Q, ID, EXH, EXH-ID

on the treatment of high WHs

- universal quantifiers: É. Kiss (1992, 2002), Horvath (1998), Lipták (2001) criticized by Surányi (2006), Mycock (2006)
- multiple [Spec,XP]s: Surányi (2006, 2007), Mycock (2006, 2010) [LFG]
- topics: Gazdik (2012) [LFG]
 - [Surányi: (i) not universal quantifiers either syntactically or semantically
 - (ii) topics semantically but not syntactically ⇔ Gazdik: both]

4.2. Previous approaches

Mycock (2008: 10)

"all question words must appear in the immediately preverbal focus position, forming a group which cannot be separated from each other or from the verb, even by a VM"

problems

- [Foc, VP] ⇔ [WH*, VP]
- miért₂ + [Foc,VP] (Miért JÁNOS mutatta be Marit Ferinek?)
 & also a problem for her general prosodic analysis
- WH + [Neg-Foc, VP]
- Foc + [WH*,VP] (JÁNOS kit mutatott be Ferinek?)
 & also a problem for her general prosodic analysis

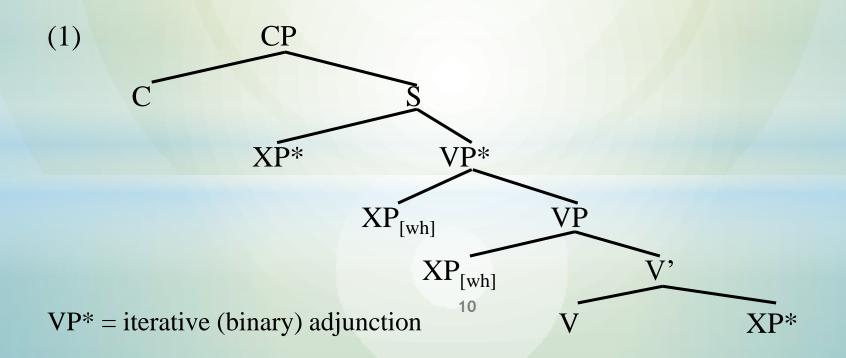
although (technically) my analysis could also be accommodated in this [Spec*, VP] context

5.1. The analysis

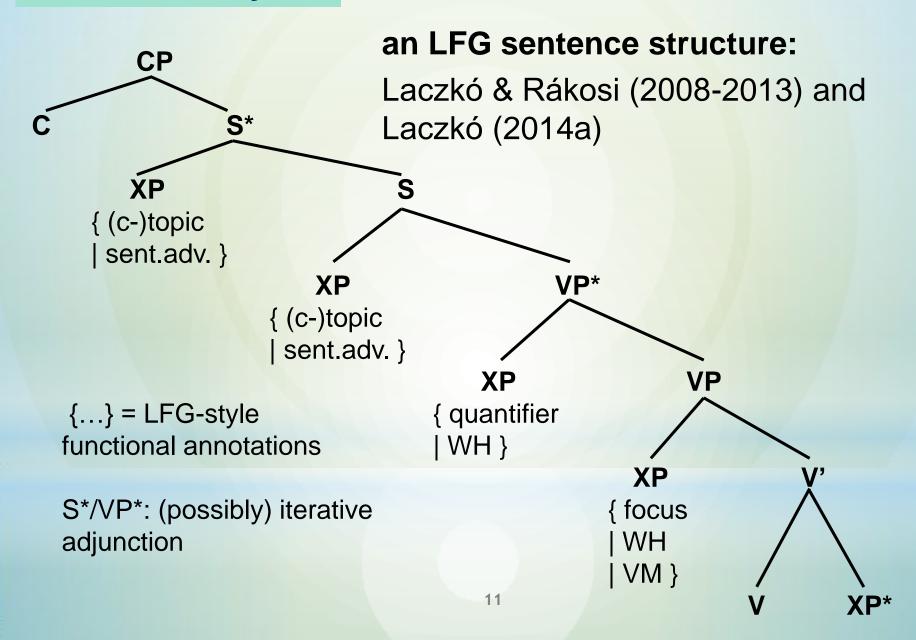
É. Kiss (1992)

- exocentricity
- flat parts of sentence structure (below S and V')
- a fundamental problem: the XP in [Spec,VP] is assumed to have the [+F(ocus)] feature obligatorily (cf. VMs in neutral sentences)

- É. Kiss (2002) against collapsing focus and VM:
- impossible to associate an unambiguous interpretation with a single syntactic position
- ⇔ LFG's parallel representational architecture makes this possible in a principled manner



5.2. The analysis



5.3. The analysis

- the basic idea, in the spirit of LFG's "what-you-see-is-what-you-get" principle-of-thumb
 - the complementarity of all these preverbal elements is to be captured by assuming that they compete for the same single preverbal position
 - disjunctive functional annotations in c-structure, supported by prosodic features, and specific functional annotations in the relevant lexical forms handle this complementarity

5.4. The analysis

an LFG sentence structure: Laczkó (2014a)

{(c-)topic sent.adv.}	{quantifier WH}	{focus WH VM}		
$(\uparrow GF) = \downarrow$ $\{ \downarrow \in (\uparrow TOPIC)$ $ \downarrow \in (\uparrow CONTR-TOPIC)$ $ (\downarrow ADV-TYPE) = c SENT \}$	(↑ GF) = ↓ { (↓ CHECK _QP)=c + (↑ CHECK _VM-INTER)=c + (↓ CHECK _QP-INTER)=c + (↓ SPECIFIC)=c + }	{ (↑ GF)= ↓ (↑ FOCUS)= ↓ (↑ GF)= ↓ (↓ CHECK _VM-INTER)=c + ((↑ CHECK _VM-INTER)= +) { (↑ GF)= ↓ ↑=↓ } (↓ CHECK _VM)=c + }		
here: arguing for this (→) and developing it further - to capture additional data	(↑ GF) = ↓ ↑ CHECK _VM-INTER)=c + (↓ CHECK _QP-INTER)=c + (↓ SPECIFIC)=c +	(↑ GF)= ↓ (↓ CHECK _VM-INTER)=c + ((↑ CHECK _VM-INTER)= +)		

5.5. The analysis

{quantifier | WH}

- XLE-style CHECK features constrain the position to quantifiers and 'wh'-phrases (marked in the lexicon)
- in the second disjunct, the two CHECK features together ensure that a 'wh'phrase can occur in this position iff another occupies [Spec, VP] - see the corresponding CHECK feature on the next slide
- L (quantifier) ...
 (CHECK _QP (GF* ↑))= +
- L (wh-word) ...
 (↑ PRON-TYPE)= interrogative
 (STMT-TYPE (GF* ↑))= wh-interrogative
 { (CHECK _VM-INTER (GF* ↑))= +
 | (CHECK _QP-INTER (GF* ↑))= +

5.6. The analysis

{focus | WH | VM}

```
{ (↑ GF)= ↓
 (↑ FOCUS)= ↓
 | {(↑ GF)= ↓ | ↑=↓ }
 (↓ CHECK _VM)=c +
 | (↑ GF)= ↓
 (↓ CHECK _VM-INTER)=c +
 ((↑ CHECK _VM-INTER)= +) }
```

in the second disjunct, the
 ↑=↓ annotation is for
 particles (see Laczkó &
 Rákosi (2011)

- the three-way disjunction encodes the complementarity in [Spec, VP] of
 - focussed constituents
 - VMs (see Laczkó 2014b)
 - 'wh'-phrases
- the optional (↑ CHECK _VM-INTER)= + feature licenses the presence of a 'wh'-phrase in the VP-adjoined QP position (cf. its counterpart there: (↑ CHECK _VM-INTER)=c +)
 - when the feature is present, it requires the presence of its counterpart (→ the presence of at least one 'wh'-phrase in QP)
 - when it is absent, it blocks 'wh'phrases in QP

5.7. The analysis

[XP,VP] [Spec, VP] [XP,S](↑ OBL)= ↓ (↑ SUBJ)= ↓ $\downarrow \in (\uparrow \mathsf{TOPIC})$ (↓ CHECK _VM-INTER)=c + DP DP János mutatta be KINEK Marit? (↑ OBJ)= ↓ (↑ CHECK _VM-INTER)=c + (↑ OBL)= ↓ (↓ CHECK _QP-INTER)=c + (↓ CHECK _VM-INTER)=c + (↑ SUBJ)= ↓ (↓ SPECIFIC)=c + (↑ CHECK _VM-INTER)= + $\downarrow \in (\uparrow \mathsf{TOPIC})$ DP DP DP János kit KINEK mutatott be?

5.8. The analysis

```
(↑SUBJ) = ↓
↓ ∈ (↑ TOPIC)
DP
János
```

[XP,S]

```
[XP,VP]

(↑ ADJUNCT) = ↓
(↑ FOCUS)

(↓ CHECK _QP-INTER)=c +

ADVP

miért<sub>2</sub>
```

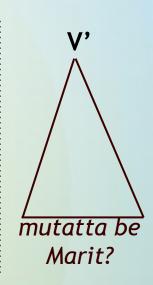
```
[Spec,VP]

(↑ OBL)= ↓

(↑ FOCUS)= ↓

DP

FERINEK
```



```
annotations in the lexical forms of WH words
(including miért₁, cf. kiért)
(↑ PRON-TYPE)= interrogative
```

```
(STMT-TYPE (GF* ↑))= wh-interrogative

{ (CHECK _VM-INTER (GF* ↑))= +

| (CHECK _QP-INTER (GF* ↑))= + }
```

annotations in the lexical form of miért₂

```
(↑ PRON-TYPE)= interrogative
{ (↑ CHECK _VM-INTER)= +
  (STMT-TYPE (GF* ↑))= wh-interrogative
| (↑ CHECK _QP-INTER)= +
  (STMT-TYPE (GF* ↑))= wh-interrogative
  (FOCUS (GF* ↑)) }
```

5.9. The analysis

[XP,VP] [Spec, VP] (↑ OBL)= ↓ (↓ CHECK _VM-INTER)=c + V⁰ DP **NEG** be Marit János? mutatta KINEK nem or: (cf: FERINEK) NEG (↑ OBJ)= ↓ { (↑ FOCUS) (↓ CHECK _QP-INTER)= c + | (↑ STMT-TYPE)= int } (↑ FOCUS POL)=neg (↑ OBL)= ↓ (↓ SPECIFIC)=c + (↑ FOCUS)= ↓ DP DP Kit (↑ POL)= neg **↑=**↓ **NEG** DP mutatott be János? **FERINEK** NEM

5.10. The analysis

[Spec, VP] [XP,S] [XP,VP] (↑ OBL)= ↓ (↑ OBJ)= ↓ (↑ FOCUS)= ↓ (↓ CHECK _VM-INTER)=c + (↑ SUBJ)= ↓ ↓ ∈ (↑ TOPIC) (↑ CHECK _VM-INTER)=c + (↑ CHECK _VM-INTER)= + DP DP DP (nem) mutatta be? János MARIT kinek

5.11. The analysis

[XP,S]	[XP,VP]	[Spec,VP]	
$(\uparrow GF) = \downarrow$ $\{ \downarrow \in (\uparrow TOPIC)$ $ \downarrow \in (\uparrow CONTR-TOPIC)$ $ (↓ ADV-TYPE)=c SENT }$	(↑ GF)= ↓ (↑ CHECK _VM-INTER)=c + (↓ CHECK _QP-INTER)=c + (↓ SPECIFIC)=c +	(↑ GF)= ↓ (↓ CHECK _VM-INTER)=c + ((↑ CHECK _VM-INTER)= +)	
		KINEK (nem)	
	kit	KINEK (nem)	
	(↑ ADJUNCT) = ↓ (↓ CHECK _QP-INTER)=c + (↑ FOCUS)	(↑ GF)= ↓ (↑ FOCUS)= ↓	
	miért ₂	FERINEK	
János	(↑ GF)= ↓ (↓ CHECK _QP-INTER)= c + (↑ FOCUS POL)=c neg (↓ SPECIFIC)=c +	(↑ GF)= ↓ (↑ FOCUS)= ↓	
	kit	nem Ferinek	
	(↑ GF)= ↓ (↑ FOCUS)= ↓ (↑ CHECK _VM-INTER)=c +	(↑ GF)= ↓ (↓ CHECK _VM-INTER)=c + ((↑ CHECK _VM-INTER)= +)	
	MARIT €	KINEK (nem)	

5.12. The analysis

[XP,VP]	[Spec,VP]
(↑ GF)= ↓	(↑ GF)= ↓
(↑ CHECK _VM-INTER)=c + (↓ CHECK _QP-INTER)=c + (↓ SPECIFIC)=c +	(↓ CHECK _VM-INTER)=c + ((↑ CHECK _VM-INTER)= +)
(↓ CHECK _QP-INTER)=c + (↑ FOCUS)	(↑ FOCUS)= ↓)
(↓ CHECK _QP-INTER)= c + (↑ FOCUS POL)=c neg (↓ SPECIFIC)=c +	(↑ FOCUS)= ↓ J
(↑ FOCUS)= ↓ (↑ CHECK _VM-INTER)=c +	(↓ CHECK _VM-INTER)=c + (↑ CHECK _VM-INTER)= +

5.13. The analysis

[XP,VP]	[Spec,VP]
(↑ GF)= ↓	(↑ GF)= ↓
{ (↑ CHECK _VM-INTER)=c +	{ (↓ CHECK _VM-INTER)=c +
(↓ CHECK _QP-INTER)=c +	((↑ CHECK _VM-INTER)= +)
(↓ SPECIFIC)=c +	(↑ FOCUS)= ↓ }
(↓ CHECK _QP-INTER)=c +	
(↑ FOCUS)	
(↓ CHECK _QP-INTER)= c +	
(↑ FOCUS POL)=c neg	
(↓ SPECIFIC)=c +	
(↑ FOCUS)= ↓	
(↑ CHECK _VM-INTER)=c + }	

6.1. Conclusions

- essentials of an LFG-XLE treatment of WH questions in Hungarian (cf. theory and implementation)
 - preverbal domain
 - also multiple WH
 - interactions with focus and negation
- É. Kiss (1992) style sentence structure accommodated in a (what-you-see-is-what-you-get) LFG-XLE framework
- disjunctive functional annotations, constraints and CHECK features associated with syntactic nodes and lexical items
 - no (discourse) functional projections, no NegP, no movements
- the syntactic distribution of WH, Foc and Neg

6.2. Conclusions

- the basic generalizations
 - single [Spec, VP] is a special, designated (ID/EXH) position, in the unmarked case aligned with prosody
 - [XP,VP]* is truly the "operator zone", where WH, miért₂,
 Foc, and Neg-pol can (scopally-distributionally) interact
- the relevant features (Foc: ID/EXH/CONTR/etc. WH: sorting key, etc.) can also be naturally associated with syntactic positions and/or prosodic properties and linked to information structure (cf. LFG's parallel levels of representation)
- on an experimental study of the prosody of WH sentences (among others) in Hungarian in an LFG framework, see Mycock (2010)
- on a possible typology of WH constituents, see Mycock (2013)

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A.1. On the classification of DFs

Choi (2001)

	+PROM	-PROM
-NEW	(shifted) topic , link	continuing topic , tail
+NEW	contrastive / emphatic focus	completive / presentational focus

cf. identificational vs. informational focus, É. Kiss (1998)

Gazdik (2012)

		FOCUS, HOCUS, Q
+PROM	D-LINKED	THEMATIC SHIFTER, CONTRASTIVE TOPIC, Q
	¬ D-LINKED	COMPLETIVE INFORMATION
-PROM	D-LINKED	BACKGROUND INFORMATION

A.2. On the classification of DFs

Mycock (2013) on discourse functions of question words

Focus [+NEW, +PROM]

New Information Focus

A: What did Lily buy at the market?

B: She bought **flowers** at the market.

[+Q] Questioning Focus

A: What did Lily buy at the market?

B: She bought flowers at the market.

Topic [-NEW, +PROM] Topic

A: What did Lily do?

B: **She** bought flowers at the market.

[+Q] Sorting Key

A: Who bought what?

B: Lily bought flowers, Eve bought cakes ...

Completive Information [+NEW, -PROM] Completive Information

A: Where has Lily been shopping?

B: She's just bought **flowers** at the market. [+Q] Non-Sorting Key

A: Who bought what?

B: Lily bought flowers, Eve bought cakes ...

Background Information [-NEW, -PROM] Background Information

A: Who did Lily buy flowers for?

B: She bought **them** for her mother.

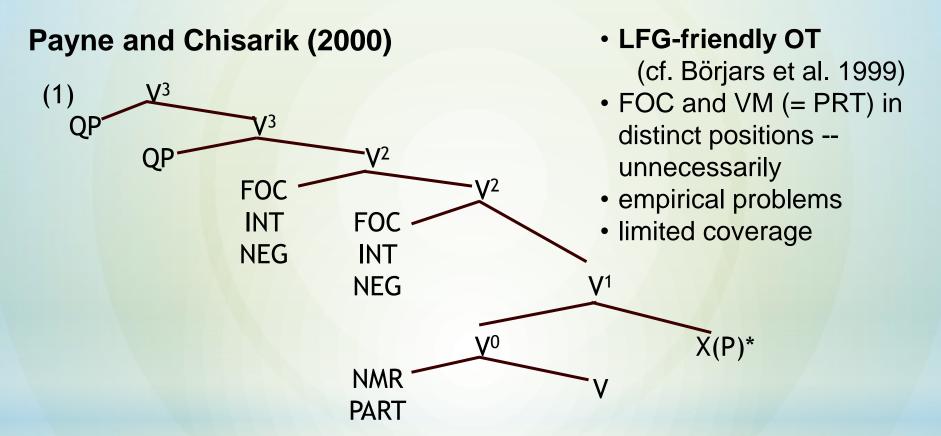
[+Q] Echo Question

A: Lily bought flowers yesterday.

B: Lily bought **WHAT** yesterday?

A: Flowers.

A.3. On previous approaches



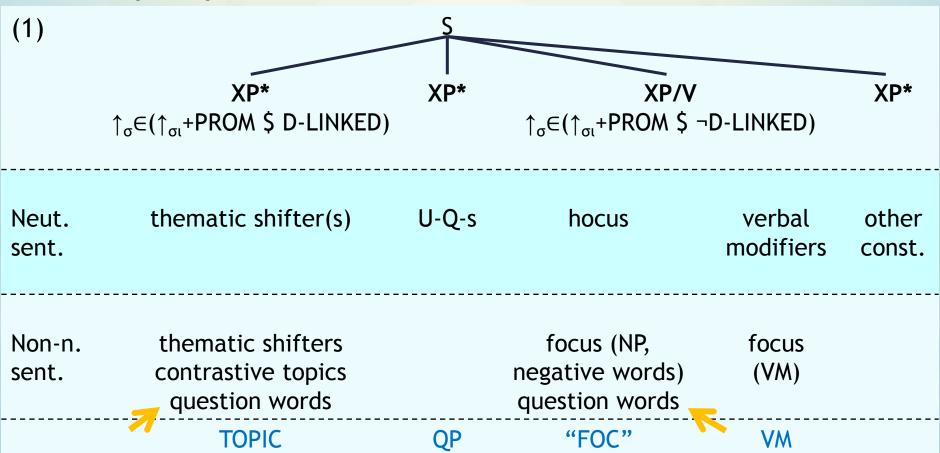
ALIGN INT > ALIGN FOC > ALIGN NEG > {ALIGN NCI, IN SITU} wrt preverbal position

ALIGN V0 > ALIGN NMR > ALIGN INCORP > {ALIGN V | *INCORP} below V1

QP=quantifier phrase FOC=focus INT=interrogative NEG=negative phrase (either constituent negation or negative concord item) NMR=negative marker PART=particle/VM

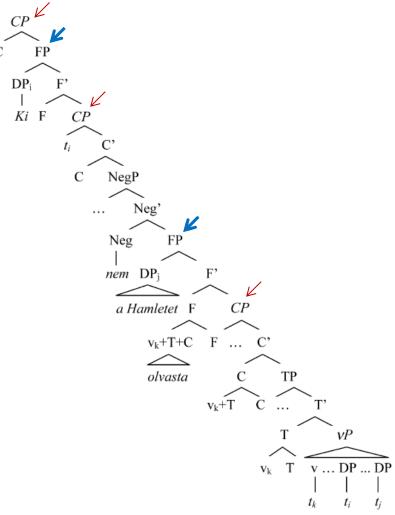
A.4. On previous approaches

Gazdik (2012)



A.5. On previous approaches

(34) $I_{CP}[FP] Ki_i F I_{CP} t_i [NegP] nem [FP] a Hamletet_j olvasta-T-C_k-F I_{CP} t_k t_j t_i [TP] t_k I_{VP} t_k t_j t_i]]]]]]]$ who not the Hamlet-ACC read 'For which person y is it the case that the x that is not Hamlet such that y has read x

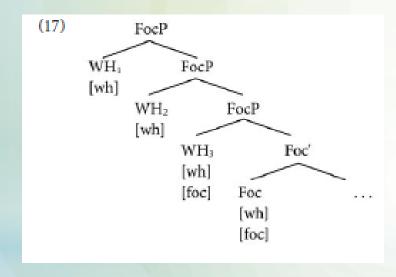


Kenesei (2009: 583)

A.6. On previous approaches

Surányi (2006): high wh-elements are not universal quantifiers (\(\Display\) É. Kiss (1992, 2002), Horváth (1998), Lipták (2001))

Surányi (2006: 297): "high wh-elements are not syntactically topicalized; nevertheless, they have the discourse semantic status of a topic" (cf. sorting key)



Surányi (2007: 237)

cf. Surányi (2011): no FocP, NegP

[TP Spec* [[T V] [AspP ...]]]

A.7. On previous approaches

☐ on the quantifier field and [Spec, VP] -- 1

Surányi (2002, 2006, 2007), Mycock (2006, 2010)

Surányi:

- (A) higher question words need not be interpreted exhaustively in all cases
- (B) a distributive quantifier cannot intervene between two question phrases in a multiple CQ
 - (1) *Ki mindenki-t mikor hív-ott fel?

Mycock:

- (C) quantifier—focus and question-word—question-word sequences have different intonation patterns
 - (2) [Mindenki-t]_{DISTRIB} [János]_{FOC} hív-ott fel. 'For every x, x = person, John called x.'
 - (3) Ki **ki-nek** mutat-t-a be Mari-t? 'Who introduced Mary to whom?'

A.8. On previous approaches

☐ on the quantifier field and [Spec,VP] -- 2

- (A) they are in complementary distribution in a particular position but this doesn't necessarily require in an LFG (⇔ GB/MP) approach a (fully) identical semantics (cf. the treatment of [Spec,VP]) but their targeting the same position can be taken to be motivated by the fact that they are operators
- (B) BUT: a distributive quantifier cannot even precede two or more question phrases in a multiple CQ
 - (1) *Mindenki-t ki mikor hív-ott fel?
- (Ci) the same position doesn't necessarily have to be associated with the same, single prosodic pattern (see, again, [Spec, VP])
- (Cii) in my idiolect, mindenki-t doesn't necessarily get heavier stress also note that it can (but doesn't have to) get heavy stress when there is a VM in [Spec,VP]: Mindenki-t/Mindenki-t fel hívott János. in the presence of FOC mindenki-t strongly needs heavy stress, otherwise it can easily be (mis)interpreted as being in the scope of FOC (as a CT)

A.9. On previous approaches

☐ on the quantifier field and [Spec,VP] -- 3

two further problems

- (D) the treatment of miért₂ (why) when combined with FOC
 - (1) Miért JÁNOS hívott fel mindenkit?
- (E) the treatment of FOC preceding a WH-phrase
 - (2) ..., de JÁNOS mit csinált?