### Pragmasemantic Analysis of the Hungarian Inferential-Evidential Expression *szerint* 'according to sy./sg.'

Anna Szeteli - Gábor Alberti

anna.szeteli@gmail.com - alberti.gabor@pte.hu

University of Pécs, Dept. of Linguistics,

Research Team  $\Re$ eALIS for Theoretical, Computational and Cognitive Linguistics

SinFonIJA 11

Jagiellonian University in Kraków

13<sup>th</sup> Oct. 2018

We are grateful to NKFIH 120073 (Open access book series on the syntax of Hungarian) and NKFIH 128518 (The Syntax of Hybrid Categories) and to János Szentágothai College for Advanced Studies for their financial support.

#### Roadmap

- ► The *szerint*-phenomenon
- ► The Framework
- Analysis



- We investigate the pragmasemantic role of the epistemic-evidential postpositional expression szerint in the Hungarian grammar, which is highly similar to epistemic discourse markers such as valószínűleg 'probably', talán 'perhaps', esetleg 'could possibly':
- the propositions modified by them should be interpreted as hypotheses (epistemic character of szerint)
- (1) a. Ili (én)szerintem hazaköltözött.
  - Ili (I).acto.1Sg home.move.Past ('acto' = 'according to')
  - 'In my opinion, Ili moved back home.'
  - b. Ili valószínűleg / talán / esetleg hazaköltözött.
  - Ili probably / perhaps / possibly home.move.Past
  - 'Ili probably / perhaps / possibly moved back home.'

- The difference lies exactly with the pronominal component present in (én)szerintem. This makes the degree of (un)certainty less precise than in the case of the discourse markers given in (1b)
- but shows the person **r** who should be regarded as
  - knowing some evidence e' in support of the proposition e
  - ▶ and having a general everyday inferential rule (Kugler 2012; Langacker 2017: 26) which can be specified in the given context as follows:  $e' \rightarrow e$ .

- (2) a. \*Ili talán / esetleg is hazaköltözött.
  - Ili perhaps / possibly also home.move.Past
  - b. Ili (én)szerintem / (ő)szerinte is hazaköltözött.
    - Ili (I).acto.1Sg / (s/he).acto.3Sg also home.move.Past
    - 'In my / his opinion too, Ili moved back home.'
- It is a further specialty of the paradigm of szerint-expressions that this inferential-evidential discourse marker (Willett 1988: 57), in contrast to other discourse markers (2a), can perform the same information-structural functions as a subject or another argument in Hungarian (É. Kiss 2002), namely the function of an *also*-quantifier (2b). This property is obviously thanks to the pronominal basis of *szerint*-expressions.

Table 1. The relevant-set based logicopragmatic system of operators in Hungarian —applied to postposition *szerint* 'according to'

	$\checkmark$	<b>–</b>
Ш	OP <sub>∃√</sub> : <i>also</i> -quantifier:	$OP_{\exists \neg}$ : contrastive topic:
	- Ili szerint is	/Ili szerint\#
	lli acto also	Ili acto
	'In Ili's opinion too'	'In Ili's opinion, but in
		contrast to at least an
		other person's opinion'
$\forall$	OP <sub>∀√</sub> : <i>each</i> -quant.:	$OP_{\forall \neg}$ : contrastive focus:
	mindkettőnk szerint	csak Ili szerint
	every.two.1Pl acto	Only Ili acto
	'In the opinion of both of us'	'Only in Ili's opinion'
		6

- Furthermore, based on the referent who should be regarded as holding the information, *szerint* can express quotative evidence (3a).
- (3) a. Ili Péter / az újság szerint hazaköltözött.
  - Ili Péter / the newspaper acto home.move.Past
  - 'In Peter's opinion / According to the newspaper, Ili moved back home.'
  - b. lli (én)szerintem gyönyörű.
    - Ili (I).acto.1Sg beautiful
    - 'In my opinion, Ili is beautiful.'

(3) b. Ili (én)szerintem gyönyörű.

Ili (I).acto.1Sg beautiful

'In my opinion, Ili is beautiful.'

- Along the second relevant parameter, depending on the associated predicate, a szerint expression can have two related meanings:
  - the probabilistic/inferential one (1a)
  - and one which expresses some kind of judgment (3b)
- In this case the expression cannot indicate an outer world evidence, it is necessarily the subjective opinion of the speaker.

8

### Table 2. Acceptability distribution of the three types of *szerint*

	Exc	lamative	Decla	rative	Interrogative		Imperative		Optative	
1	*,√,*	*,?,*	*,√,√	?,√,√	* * *	* * * ,,	*,√,*	*,√,*	* * * , ,	*,?,?
2	*,??,*	* * * ? ?	?,?,?	*,*?,*?	*,√, √	*,??,*?	?,?,*	*,?,*	*,(?),(?)	*,?,?
		*,?,?		?,?,?		*,		?,?,*		*,(?) <mark>,(?</mark> )
3	✓,*,✓	√,*?,√	√,√,√	√,√,√	*?,√, ✓	(?),√, ✓	??,(?), *	??,(?), *	*,(?),(?)	*,(?),(? )

9

### Table 2. Acceptability distribution of the three types of *szerint*

- Triplet: Quotative / Probabilistic / Judgement
- In each row: Singular / Plural (exclusive, inclusive 1.Pl)
- Basic sentences:

r szerint Ili ott volt a gyűlésen. / same / r szerint Ili gyönyörű.

- r acc\_to lli there was the meeting.Sup / r acc\_to lli beautiful
- Q: 'In r's opinion, Ili took part in the meeting.' / P: same / J: 'In r's opinion, Ili is beautiful.'
- ► Grades of acceptability: ✓ > (?) > ? > ?? > \*? > \* (on the basis of minimal pairs evaluated by the authors)

#### Epistemic or evidential Probability or judgement

- It is not clear whether a lexical item is an epistemic or an evidential marker (at least in Cognitive Grammar classification, Langacker 2017)
  - However, it is not to decide in a label-based classification
- Predicates do not differentiate the two types in every situation:
  - Inference takes e' as a definition element: Inference turns to judgment in the case that there stands no intersubjective definition for the participants of the discourse (What does it mean, for instance, "moving home" exacty?)
  - Judgement can be regarded as inference as follows: we take the epistemically weighted summarized/averaged opinion of a big relevant set of conceptualizers:

lli (mindenki szerint) gyönyörű.

'lli is beautiful (acto everybody).'



Figure 1. The System of Scientific Antecedents of ReALIS



Figure 2. DRS-based<sup>®</sup> mental-state representation with Attitude Description sets (Maier 2016: 477)



THEORY OF MIND

Wimmer, H. és Perner, J. (1983). Beliefs about beliefs: Representation and constraining function of wrong beliefs in young children's understanding of deception. Cognition, 13, 103-128.

Figure 1. The System of Scientific Antecedents of ReALIS

#### Framework ReALIS (Reciprocal and Lifelong Interpretation System) (Alberti 2011, Alberti *et al.* to appear 2018)

- As we strive for explanatory adequacy, we hypothesize that children—on the basis of the meagre data set available to them—hold possession of the system of intensional profiles as follows.
- Only certain "generator values" should be set and keep in mind; they appear with a black background in. Other values in the profiles are decided by means of general constraints requiring certain values to equal or to stand in complementary distribution.
- The iBuB-values in the general target-oriented mentalization, for instance, are assumed to coincide with the iB-value or to be its opposite ( $\alpha^*$  is defined as the set consisting of the scale values which are not in set  $\alpha$  or { $\alpha$ }). (Our ultimate endeavor is to derive certain fairly different intensional profiles by changing a single generator value.)

### Table 3. The Three Basic ConventionalizedIntensional Profiles and Their Shared Basis

Target-oriented	Declarative	Imperative	Interrogative	
mentalization				
For e: iB	$(\underline{iB}=+5_{\alpha})$	<u>iB</u> = <b>–5</b> <sub>7</sub>	<u>iΒ</u> iΒ=γ•β (-5•±5)	
			$\rightarrow \underline{iB} \in "0"$	
<u>iB</u> uB∈ <mark>''+5</mark> ,	( iB <u>uB</u> ∈α*)	iB <u>uB</u> =γ	$iBuB\underline{iB}=\beta(''+5\bullet\gamma'\bullet\pm5)$	
$i\underline{B}\underline{u}\underline{B} \in \underline{i}\underline{B} \text{ or } \underline{i}\underline{B}^*$			$\rightarrow$ <u>iBuBiB</u> $\in$ "+5 $\bullet$ "'0"	
For $e':\bullet W$ ,		Default:		
$r \in R \subseteq \{i,u,o\},\$	W= <mark>uB+</mark>	e'= <mark>res</mark> e	W= <mark>iB+</mark>	
$(\Sigma \underline{iB} \cdot \underline{rD}) /   5R   \in "+5$	iBrD <u>uB+</u> =±5 <sub>β</sub>	For e': iBrD	iBrD <u>iB+</u> =β	
<u>iBuA</u> ∈''+5 <b>•</b> " <b>+</b> 5	iBuA <u>uB+</u> =β	For e': iBuA	iBuA <u>iB+</u> =β	
			A factor: $iB\underline{uB} = \beta' \in \beta$	
For $e$ ": iIuI+ $\in$ "+5•+5	iIuI+ <u>uB+</u> =α	For e: iIuI+	iIuI+ <u>iB+</u> =β'	
<u>iA</u> iIuI+∈ <b>''+5</b>	iAiIuI+ <u>uB+</u> =α	For e: iAiIuI+	iAiIuI+ <u>iB+</u> =β'	
	A factor: $iE=\alpha$		16	

#### Framework ReALIS (Reciprocal and Lifelong Interpretation System): labels

i, u, o (+a) B, D, A, I, E

-5, -4, -3, -2, -1, 0, +1, +2, +3, +4, +5 (cf.: Nuyts 2017: 69)

- We attempt to base the current model of profile system on the assumption that the iB-value always serves as a generator, that is, "what I know about the truth status of the given eventuality"
- The iB-generator of the imperative is the value -5, as a truth value of the propositional content the given sentence conveys.

### Table 3. The Three Basic ConventionalizedIntensional Profiles and Their Shared Basis

Target-oriented	Declarative	Imperative	Interrogative	
mentalization				
For e: iB	<u>iB</u> =+5 <sub>α</sub>	$\underline{iB} = -5_{\gamma}$	<u>iΒ<mark>iΒ</mark>=</u> γ•β (-5•±5)	
			$\rightarrow \underline{iB} \in "0"$	
<u>iB</u> uB∈ <mark>''+5</mark> ,	iB <u>uB</u> ∈α*	( iB <u>uB</u> =γ )	$iBuB\underline{iB}=\beta(''+5\bullet\gamma'\bullet\pm5)$	
$i\underline{B}\underline{u}\underline{B} \in \underline{i}\underline{B} \text{ or } \underline{i}\underline{B}^*$			$\rightarrow \underline{\mathrm{iBuBiB}} \in "+5\bullet", 0"$	
For $e':\bullet W$ ,		Default:		
$r \in R \subseteq \{i,u,o\},\$	W= <mark>uB+</mark>	e'= <mark>res<sub>e</sub></mark>	W <b>≕iB</b> +	
$(\Sigma \underline{iB} \cdot \underline{rD}) /  5R  \in "+5$	iBrD <u>uB+</u> =±5 <sub>β</sub>	For e': iBrD	iBrD <u>iB+</u> =β	
<u>iBuA</u> ∈''+5 <b>●''+5</b>	iBuA <u>uB+</u> =β	For e': iBuA	iBuA <u>iB+</u> =β	
			A factor: $iB\underline{uB} = \beta' \in \beta$	
<i>For e":</i> iIuI+∈ <b>"+5</b> ●+5	iIuI+ <u>uB+</u> =α	For e: iIuI+	iIuI+ <u>iB+</u> =β'	
<u>iA</u> iIuI+∈ <b>''+5</b>	iAiIuI+ <u>uB+</u> =α	For e: iAiIuI+	iAiIuI+ <u>iB+</u> =β'	
	A factor: $iE=\alpha$		19	

- The imperative in (4a), for instance, is senseless if Fanni is (already) vegetarian. The "negative knowledge" should be shared by the addresser and the addressee: <u>iB</u>=-5=iB<u>uB</u>, as illustrated by the rejecting reaction of a potential listener presented in (4b).
- (4) a. Fanni, légy vegetáriánus!

Fanni, be.Conj vegatarian

'Fanni, be vegetarian!'

b. Már egy éve vegetáriánus vagyok!
already for\_a\_year vegetarian be.1Sg
'I have already been vegetarian for a year.'

- Let us now turn to the dimension of desires and interests underlying them. Texts (5b,b',b") are all potential continuations at the speaker's disposal. The variants illustrate that in the background of using the given imperative sentence (5a) there may stand the speaker's desire (5b) as well as the listener's one (5b') or perhaps that of an outsider (5b"). Moreover, to carry out e may be a common interest, at least in the speaker's opinion (5c). Accounting appropriately for all these facts requires a flexible model.
- (5) a. Menj haza!

R=?

go.Conj home

'Go home!'

- b. I am fed up with you.  $R=\{i\}$
- b'. You'd better be at home.  $R=\{u\}$
- b". Are you saying this because of my husband? He is just watching a match with his friends, and he prefers me not disturbing him at home.' R={o}
- c. (5a) + [I'm convinced that this way both of us will fare better.]

- ►  $R=\{i,u\};$  (<u>iB</u>iD·iB<u>iD</u>+<u>iB</u>uD·iB<u>uD</u>)/10=(5·5+3·4)/10=3,7
- The desires should be averaged, or rather, summarized as a first step. It is also worth considering that the speaker is likely to be aware of others' desires in different degrees; it is the technique of weighting that the mathematical toolbox offers in such cases:
- epistemically weighted average of interests.
- One might think that it offers too much freedom, but we claim that it will get specified just like pronouns such as *this* or *everyone* in real contexts. The speaker knows whose interest they intend to serve, and the listener should also make a reliable decision on this topic.

In the case of *szerint*: also epistemically weighted averege of BELIEFS! (by judgement)

22

#### A cognitive perspective on evidentiality (Nuyts 2017: 61-62, 66)

#### (1)

- > evidentiality
- > epistemic modality
- > deontic modality
- > time
- > quantitative aspect / dynamic modality
- > phasal aspect
- > (parts of the) STATE OF AFFAIRS

Note that evidentiality is placed on the top of this hierarchy.

### A cognitive perspective on evidentiality (Nuyts 2017: 61-62, 66)

- "this hierarchy ... constitutes a very basic dimension of our cognitive system for conceptualizing 'the world'...
- Climbing up the hierarchy involves a gradual widening of the perspective on the state of affairs and, correspondingly, an increasing role for the speaker. The higher up in the hierarchy, the more the speaker has to do to 'perform' the qualification, in terms of drawing in information beyond the state of affairs of concern and in interpreting the situation of the latter in that light hence the more room there is for creative involvement on his/her part in coming to the qualification of the state of affairs. In sloganesque terms: 'the higher up, the more conceptual work'.

#### A cognitive perspective on evidentiality (Nuyts 2017: 61-62, 66)

- This element of 'work load' and the corresponding degree of speaker presence will be a crucial factor in the analysis of the evidential categories."
- In the categories at the top speaker activity hence speaker presence becomes the absolutely dominant element: they involve different kinds of explicit speaker reflections on the state of affairs.

# Variants of *szerint* based on Langacker's (2017) categorization

- "Language is a basic means of achieving epistemic control and intersubjective alignment.
- I view evidentiality as one dimension of epistemic assessment, which is best treated in a unified account of embodied experience and the striving for epistemic control.
- ...the speaker by following an inferential path projects its realization with greater or lesser confidence.
- the same two dimensions of epistemic control: source of information or degree of epistemic certainty"

# Variants of *szerint* based on Langacker's (2017) categorization

- "Evidentials are ... organized egocentrically with respect to source and reliability of information. ... sources representing increasing distance from the center are"
  - internal experience (szerint<sub>judgment</sub>)
  - perception (szerint<sub>quotativ</sub> from an anchored o's perspective)
  - inference (based on higher-level cognition) (szerint<sub>probabilistic</sub>:evidence+inference)
  - and report (contributions from other conceptualizers) (szerint<sub>quotativ</sub>/szerint<sub>probabilistic</sub> from the speaker's perspective)
  - Report IL.=hearsay (from an unanchored o's perspective)

Declarative	Imperative	Interrogative (v/n)			
Probabilistic <i>szerint</i> –δ					
δ=o: <u>iBδB</u> ∈ <mark>+5•²+4,</mark>	δ=o: <u>iB</u> =- <mark>5</mark> ,	_			
iB≤	<u>iBδB</u> ∈ <mark>+5∙–5,</mark>	<u>iB</u> ∈"0"			
δ=i: <u>δB</u> ∈ <mark>+5•²+4,</mark>	δ=i: <u>δB</u> =- <u>5,</u>				
δ=o: iE=0		δ=o: iE=0			
iE"=+5		<u>iBδE</u> =°+5●0			
<u>iBδE</u> =°+5●0		<u>iBuBδE</u> '='+5●'+5●			
<u>iBδE</u> °=°+5●+5		+5			
δ=i: iE=0		δ=u: iE=0			
iE°=+5		<u>iBδE</u> =°+5●0			
		$\underline{iB\delta E^{2}} = +5 + 5$			
iB <u>uB</u> ≤	<u>iBuB</u> ="+5●γ'				
iB <u>uBδB</u> ∈α*•γ	$(\gamma' = 5 \text{ or } \gamma' \in 5')$	<u>iBuBiB</u> ∈``+5•(°)+5•``			
(α*=`"0" ν. "'0")		0"			







this is not necessarily a strict order, only an advice ('5)

#### How to capture the judgment character?

- I know that o judges e to be true. (o's subjective judgment)
- I know that there is a set R" of conceptualizers who judge e to be false. (Intersubjetive judgement as a basis of comparison.)

 $\delta = 0$ : iB $\delta$ B $\in$  +5•+5

#### References

- Alberti, G. (2011): *ReALIS: Interpretálók a világban, világok az interpretálóban* [ReALIS: Interpreters in the World, Worlds in the Interpreter]. Budapest: Akadémiai Kiadó.
- Alberti, G., and J. Kleiber (2014): "ReALIS: Discourse Representation with a Radically New Ontology." In Complex Visibles Out There, ed. by Veselovská and Janebová. Olomouc: Palacký Univ. 513-528.
- Alberti G, Mónika Dóla, Eszter Kárpáti, Judit Kleiber, Anna Szeteli and Anita Viszket (to appear): Towards a Cognitively Viable Linguistic Representation (Manuscript)
- É. Kiss, K. (2002): The Syntax of Hungarian. Cambridge: CUP.
- Kugler N. (2012): Az evidencialitás jelölői a magyarban, különös tekintettel az inferenciális evidenciatípusra [Markers of evidentiality in Hungarian, with special emphasis on the inferential-evidential type]. Budapest: ELTE BTK Dept. of Hungarian Linguistics.
- Langacker, R. W. (2017): Evidentiality in Cognitive Grammar. In Arrese, Juana L. Marín, Gerda Haßler, Marta Carretero (eds.): Evidentiality Revisited: Cognitive grammar, functional and discourse-pragmatic perspectives. 13-56. Amsterdam: John Benjamins.
- Nuyts, J. (2017): Evidentiality reconsidered. In Arrese, Juana L. Marín, Gerda Haßler, Marta Carretero (eds.): Evidentiality Revisited: Cognitive grammar, functional and discourse-pragmatic perspectives. 57-83. Amsterdam: John Benjamins.
- Willett, Th. (1988): "A cross-linguistic survey of the grammaticalization of evidentiality." St. in Language 12: 51-97.

#### Thank you!